

Form No. MGT-9

EXTRACT OF ANNUAL RETURN  
as on the financial year ended on 2018-2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i) CIN:-	L33125MH1989PLC051910
ii) Registration Date:-	26-05-1989
iii) Name of the Company:-	Auro Laboratories Limited
iv) Category / Sub-Category of the Company:-	Company limited by shares Indian Non Government Company
v) Address of the Registered office and contact details:-	K-56, MID C, Tarapur Industrial Area, Thane - 401506 Tel: +91 22 66635456 Email: www.aurolabs.com Web: www.purvashare.com
vi) Whether listed company	Yes
vii) Name, Address and Contact details of Registrar and Transfer Agent, if any	Purva Sharegistry (India) Private Limited Unit no.9 Shiv Shakti Ind. Estt. J. R. Boricha Marg Lower Parel (E) Mumbai 400 011 Tel: 91-22-2301 2518 / 6761 Email: support@purvashare.com Web: www.purvashare.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Pharmaceuticals	2100	100.00

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES -

Sr. No	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/SUBSIDIARY/ASSOCIATE	% of shares held	Applicable Section
Not Applicable					

**IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)**

**i) Category-wise Share Holding**

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoter's</b>									
<b>(1) Indian</b>									
a) Individual/ HUF	2065512	1700	2067212	33.17	2067212	0	2067212	33.17	0.00
b) Central/State Govt									
c) Bodies Corp.	1168781	0	1168781	18.75	1168781	0	1168781	18.75	0.00
d) Banks / FI									
e) Any Other									
<b>Sub-total (A) (1):-</b>	<b>3234293</b>	<b>1700</b>	<b>3235993</b>	<b>51.92</b>	<b>3235993</b>	<b>0</b>	<b>3235993</b>	<b>51.92</b>	<b>0.00</b>
<b>(2) Foreign</b>									
a) NRIs - Individuals									
b) Other - Individuals									
c) Bodies Corp.									
d) Banks / FI									
e) Any Other									
<b>Sub-total (A) (2):-</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Total shareholding of Promoter (A) = (A)(1)+(A)(2)</b>	<b>3234293</b>	<b>1700</b>	<b>3235993</b>	<b>51.92</b>	<b>3235993</b>	<b>0</b>	<b>3235993</b>	<b>51.92</b>	<b>0.00</b>
<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	0.00	400	400	0.01	0.00	400	400	0.01	0.00
b) Banks / FI	200	0.00	200	0.00	200	0.00	200	0.00	0.00
c) Central/ State Govt									
d) Venture Capital Funds									
e) Insurance Companies									
f) FIs									
g) Foreign Venture Capital Funds									
i) Others (specify)									
<b>Sub-total (B)(1):-</b>	<b>200</b>	<b>400</b>	<b>600</b>	<b>0.01</b>	<b>200</b>	<b>400</b>	<b>600</b>	<b>0.01</b>	<b>0.00</b>
<b>2. Non- Institutions</b>									
a) Bodies Corp.									
i) Indian	68096	18700	86796	1.39	38031	12500	50531	0.81	-0.58
ii) Overseas									
b) Individual shareholders holding									
i) upto Rs. 1 lakh	1172615	635618	1808233	29.01	1228971	627918	1856889	29.79	0.78
ii) excess of Rs 1 lakh	375934	0.00	375934	6.03	370703	0.00	370703	5.95	-0.08
c)Others									
Clearing Member	11873	0	11873	0.19	18127	0	18127	0.29	0.10
OCB	0	0	0		0	0	0		
NRI	294083	365200	659283	10.58	283161	360200	643361	10.32	-0.26
HUF	53288	500	53788	0.86	55796	500	56296	0.90	0.04
<b>Sub-total (B)(2):-</b>	<b>1975889</b>	<b>1020018</b>	<b>2995907</b>	<b>48.07</b>	<b>1994789</b>	<b>1001118</b>	<b>2995907</b>	<b>48.07</b>	<b>0.00</b>
<b>Total shareholding of Public Shareholding (B) = (B)(1)+(B)(2)</b>	<b>1976089</b>	<b>1020418</b>	<b>2996507</b>	<b>0.48</b>	<b>1994989</b>	<b>1001518</b>	<b>2996507</b>	<b>0.48</b>	<b>0.00</b>
<b>ADRs</b>									
<b>Grand Total (A+B+C)</b>	<b>5210382</b>	<b>1022118</b>	<b>6232500</b>	<b>100.00</b>	<b>5230982</b>	<b>1001518</b>	<b>6232500</b>	<b>100.00</b>	<b>0.00</b>

(ii) Shareholding of Promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			% change in share holding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	
1	AURO IMPEX PRIVATE LIMITED	1168781	18.75	0	1168781	18.75	0	0
2	SHARAT DEORAH	1165800	18.68	0	1165800	18.68	0	0
3	SIDDHARTHA DEORAH	431192	6.92	0	431192	6.92	0	0
4	SAMRIDHI DEORAH	241191	3.87	0	241191	3.87	0	0
5	SHARAT DEORAH(HUF)	229029	3.67	0	229029	3.67	0	0

iii) Change in Promoters' Shareholding

Sl No.		Shareholding at the beginning of the year (As on 01-04-2019)		Cumulative Shareholding during the year (01-04-2019 to 31-03-2020)	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	3235993	51.92	3235993	51.92
	Date wise Increase / Decrease in Share holding during the year specifying the reasons for increase /decrease (e.g. allotment / transfer / bonus / sweat equity etc.	# As per details below			
	At the End of the year	3235993	51.92	3235993	51.92

# Inter-se Transfer among Promoters and Market Purchases by Promoter

Sr.No	Shareholder's Name	Shareholding at the Beginning of the Year			Shareholding at the end of the Year			
		No.of Shares at the beginning /end of the Year	% of the Shares of the company	Date	Increasing/Decreasing in shareholding	Reason	No.Of shares	
Not Applicable								

iv Shareholding pattern of top ten Shareholders (other than Directors, promoters and Holders of GDRs and ADRs):

Sr.No	Name	No.of Shares at the beginning /end of the Year	% of the Shares of the company	Date	Increasing/Decreasing in shareholding	Reason	No.Of shares	% of total Shares of the company
1	JAYSHREE VINOD SHAH	200000	3.21	01-04-2019				
				30-09-2019	2734	Sell	202734	3.17
				04-10-2019	1024	Sell	203758	3.15
				25-10-2019	791	Sell	204549	3.14
				01-11-2019	5814	Sell	210363	3.04
				31-03-2020			210363	3.04
2	KIRTILABEN JAYANTILAL MEHTA	195000	3.13	01-04-2019				
				31-03-2020		No Change	195000	3.13
3	SHIKHA LOHIA	96126	1.54	01-04-2019				
				31-03-2020		No Change	96126	1.54
4	BANKIM C SHAH	50000	0.8	01-04-2019				
				31-03-2020		No Change	50000	0.8
5	JAYESH LABHSHANKER MEHTA	40080	0.64	01-04-2019				
				14-06-2019	1	Sell	40081	0.64
				31-03-2020			40081	0.64
6	SALIL R SHAH	28642	0.46	01-04-2019				
				31-03-2020		No Change	28642	0.46
7	KIRTI RAJESH SHAH	20396	0.33	01-04-2019				
				31-03-2020		No Change	20396	0.33
8	SURAJKANWARI RAMNIWAS KASAT	18959	0.3	01-04-2019				
				31-03-2020		No Change	18959	0.3
9	ANJU KAPOOR	27997	0.45	01-04-2019				
				31-03-2020		No Change	27997	0.45
10	RAJESH KUMAR GOLECHHA	29375	0.47	01-04-2019				
				10-05-2019	176	Purchase	29551	0.47
				17-05-2019	905	Purchase	30456	0.49
				26-07-2019	500	Purchase	30956	0.50
				02-08-2019	884	Purchase	31840	0.51
				09-08-2019	306	Purchase	32146	0.52
				16-08-2019	1600	Purchase	33746	0.54
				30-08-2019	300	Purchase	34046	0.55
				27-09-2019	915	Purchase	34961	0.56
				30-09-2019	40	Purchase	35001	0.56
				18-10-2019	300	Purchase	35301	0.57
				01-11-2019	1106	Purchase	36407	0.58
				07-02-2020	1526	Purchase	37933	0.61
				28-02-2020	1000	Purchase	38933	0.62
				31-03-2020			38933	0.62

## (v) Shareholding of Directors and Key Manageria

Sr.No	Shareholders Name	Shareholding at the beginning of the year			Cumulative Shareholding at the end of the year			
		No.of Shares at the beginning /end of the Year	% of the Shares of the company	Date	Increasing/Decreasing in shareholding	Reason	No.Of shares	% of total Shares of the company
1	Siddhartha Deorah	431192	6.92	01-04-2019				
				31-03-2020			431192	6.92
2	Sharat Deorah	1165800	18.71	01-04-2019				
				31-03-2020			1165800	18.71
3	Amit Shah (CFO)	328	0.01	01-04-2019				
				31-05-2019	-77	Sell	251	0.00
				07-06-2019	-43	Sell	208	0.00
				28-06-2019	159	Buy	367	0.01
				05-07-2019	-367	Sell	-	0.00
				09-08-2019	89	Buy	89	0.00
				16-08-2019	-89	Sell	-	0.00
				22-11-2019	124	Buy	124	0.00
				29-11-2019	62	Buy	186	0.00
				13-12-2019	5	Buy	191	0.00
4	Shanu Nag (CS)	0	0	01-04-2019				
				31-03-2020	0	No Change	0	0
5	Kailash Bubna	2,199	0.04	01-04-2019				
				09-08-2019	30	Buy	2,229	0.04
				21-02-2020	500	Buy	2,729	0.04
				31-03-2020			2,729	0.04

## V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	6,14,92,962.00	1,94,50,000.00	-	8,09,42,962.00
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	6,14,92,962.00	1,94,50,000.00	-	8,09,42,962.00
<b>Change in Indebtedness during the financial year</b>				
• Addition	-	-	-	-
• Reduction	4,33,95,446.00	59,88,046.00	-	4,93,83,492.00
<b>Net Change</b>	(4,33,95,446.00)	(59,88,046.00)	-	(4,93,83,492.00)
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	1,80,97,516.00	1,31,50,000.00	-	3,12,47,516.00
ii) Interest due but not paid	-	3,11,954.00	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	1,80,97,516.00	1,31,50,000.00	-	3,12,47,516.00

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

**A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

Sr. No.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
		Siddhartha Deorah	Sharat Deorah	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	90,00,000	90,00,000	1,80,00,000
	(b) Value of perquisites u/s 17(2) Income-tax Act,1961			
	(c) Profits in lieu of salary under			
2	Stock Option			
3	Sweat Equity			
4	Commission			
	as % of profit			
	others, specify...			
5	Others, please specify			
	Total (A)	90,00,000	90,00,000	1,80,00,000
	Ceiling as per the Act	As per Companies Act, 2013		

**B. Remuneration to other directors:**

Sr. No	Particulars of Remuneration	Name of the Director			Total Amount
		Goverdhan Das Agarwal	Kailash Chandra Bubna	Kavita Sharma	
1	<b>Independent Directors</b>				
	• Fee for attending board committee meetings	10,000	10,000	10,000	30,000
	• Commission				
	• Others, please specify				
	Total (1)				
2	<b>Other Non-Executive Directors</b>				
	• Fee for attending board committee meetings				
	• Commission				
	• Others, please specify				
	Total (2)				
	Total (B)=(1+2)	10,000	10,000	10,000	30,000
	Total Managerial Remuneration				
	Overall Ceiling as per the Act	Rs. 1 Lakhs per Board Meeting			

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD**

Sr. No.	Particulars of Remuneration	Key Managerial Personnel		Total
		Amit Shah (CFO)	Shanu Nag (Secretary)	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	13,51,103	2,02,000	15,53,103
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961			
2	Stock Option			
3	Sweat Equity			
4	Commission			
	as % of profit			
	others, specify...			
5	Others, please specify			
	Total	13,51,103	2,02,000	15,53,103

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority [RD /NCLT / COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty					
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty			NIL		
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment					
Compounding					

Registered Office:  
 314, T.V. Industrial Estate,  
 S. K. Ahire Marg,  
 Worli,  
 Mumbai - 400030

For and on behalf of the Board of Directors  
 AURO LABORATORIES LIMITED

SHARAT DEORAH  
 (DIN: 00230784)  
 CHAIRMAN & MANAGING DIRECTOR

Date: August 31, 2020  
 Place: Mumbai